

**VOLUNTARY FREEZING/BLOCKING/UNFREEZING
/UNBLOCKING POLICY**
(Version 2024-25)

Background

SEBI vide Circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and Exchange Circular no. NSE/INSP/60277 dated January 16, 2024 has issued circular on Facility of voluntary freezing/blocking of Trading Accounts by Clients”.

As per the said circular trading members are supposed to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients on account of suspicious activities.

Purpose

The purpose of this Policy is to set out the process to achieve the objective as mentioned above. Major parameters of this Policy are mentioned below:

Mode of intimation by client for freezing/ blocking trading account

The client willing to freeze/block the on-line access of trading account on account of observance of any suspicious activity may request for voluntary freezing/ blocking the online access of trading account through following mode

- 1) SMS from registered mobile number to registered mobile no: 8591775421
- 2) Trading Member’s App / website: <http://quant.in/#>

The request so received will be verified with our record and an acknowledgement will be issued to client post validation.

The online access of the trading account given to client will be frozen/blocked as also will cancel all the pending orders of the said client.

The timelines for freezing/ blocking of the online access of the clients’ trading account is as under:

Conflict with the Act/ Rules/Regulations

The Prevention of Money Laundering Act, 2002, Rules and Regulations issued thereon and any Regulation/ Circular/ Direction issued by SEBI and Stock Exchanges shall have an overriding effect on this policy.

Periodic Review

The Management Committee of the Company may review the policy periodically at regular interval of yearly basis to keep check on its adherence to existing laws, rules, regulations, notifications, circulars or any such declaration from the SEBI or Stock Exchange.

Amendment

The policy may be amended from time to time to comply with the provisions of Prevention of Money
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Laundering Act, 2002, Rules and Regulations issued thereon and Regulations/ Circulars/ Directions issued by SEBI and Stock Exchanges.